IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit:

3744 Examiner:

LEONARD R. LEO

Applicant(s): FLIK et al.

Serial No.: 10/561.975

Filed: 22 Dec 2005

Title: DEVICE FOR MULTI-STAGE

HEAT EXCHANGE AND METHOD FOR PRODUCING ONE SUCH

DEVICE

Attorney Docket No.: 1006/0117PUS1

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or
 - (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- X under 37 CFR 1.97(c) together with either a:
 - Statement under 37 CFR 1.97(e), or
 - X a \$180.00 fee under 37 CFR 1.17(p),

(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

- ___ under 37 CFR 1.97(d) together with a:
 - __ Statement under 37 CFR 1.97(e), and
 - __ a \$180.00 fee set forth in 37 CFR 1.17(p).
 - (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION ĭ

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO/SB/08b attached hereto.

Serial No.: 10/561,975

II. COPIES (check at least one box)

a. X Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

b. X Some or all of the documents listed on the PTO/SB/08b are not enclosed because they are either U.S. Patents or Published Applications or were cited in the International Search Report and copies should already be in the PTO file. If copies are needed, please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

a. X DOCUMENTS IN THE ENGLISH LANGUAGE

The attached patents, publications, or other information in the English language do not require a statement of relevancy.

b. X DOCUMENTS NOT IN THE ENGLISH LANGUAGE

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows: Computer-generated English language translations are being submitted for DE19824026, DE10137103, DE10112710, DE10049890; DE10137888 corresponds to US6893619; DE69132499 corresponds to EP0730134; EP1026468 corresponds to US6347662; and English-language Abstracts are published on the first page of both WO References.

c. ENGLISH LANGUAGE SEARCH REPORT

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).

d. OTHER

The following additional information is provided for the Examiner's consideration.

It is requested that the information disclosed herein be made of record in this application. Please charge any shortage in fees due in connection with the filing of this, concurrent and future replies, including extension of time fees, to Deposit Account 50-3828 and please credit any excess fees to such deposit account.

Respectfully submitted,

Martin R. Geissler

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Reg. No. 51011

Date: September 29, 2009

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